

DIT-022 - Lecture 2020-09-21

Complexity & Sorting

Def 38 an algorithm is a finite sequence of precise instructions for performing a computation or for solving a problem

- a) input (finite)
- b) output (finite)
- c) definiteness (precisely defined steps)
- d) correctness
- e) finiteness (come to an end)
- f) effectiveness (finite amount of time)
- g) generality (all problems, not only for a particular subset of input values)

greedy algorithm = algorithm that make best choice at every next step; solutions might not be optimal

brute-force

divide-and-conquer

probabilistic

backtracking

..... enumeration

dynamic programming
 randomized algorithms
 non-deterministic algorithm

Big-O notation

$O(\dots)$

estimate the growth of a function without worrying about constant multipliers or smaller order terms.

assumption: different operations ("steps") take the same time

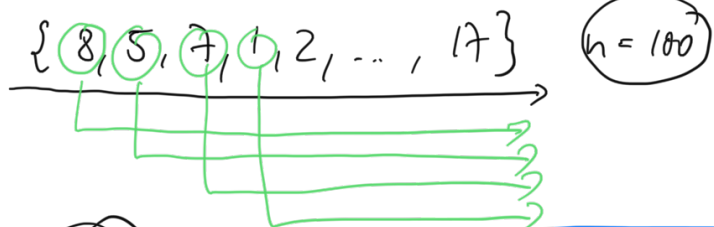
goal a) with this notation, we can reason whether it is practical to use a particular algorithm for a given problem if the input size grows.

b) we can compare two algorithms when input size grows:

alg A: $100n^2 + 17(n) + 4$

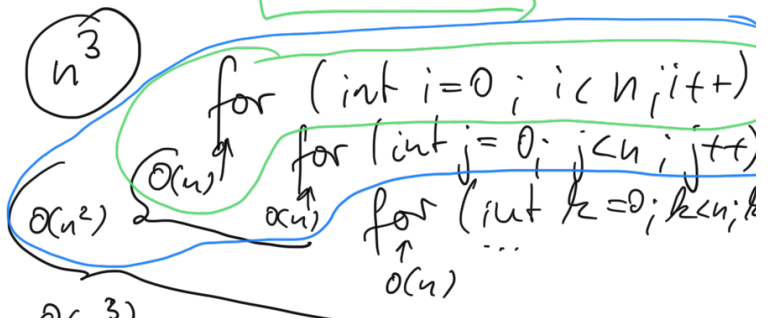
$\rightarrow O(n)$

$O(n^2)$



alg B: n^3

$\rightarrow$



$n \leq 1$

$n = 3$

$n=2$
 $n=3$
 $\vdots$

$O(n^3)$

up to $n=100 \Rightarrow$ alg. B

alg B: $(10)^3 = 10 \cdot 10 \cdot 10 = 1000$

alg A: $100 \cdot (10)^2 + 17 \cdot (10) + 4 =$

$100 \cdot 100 + 17 \cdot 10 + 4 =$

$10000 + 170 + 4 > 106$

Def 39

Let f, g be functions

$f(x)$ is of $O(g(x))$, if there are constants C and k so that:

$\Rightarrow |f(x)| \leq C \cdot |g(x)|$
 whenever $x \geq k$

alg C



$f(x) = x^2 + 2x + 1$

$0 < x^2 + 2x + 1$
 $\Rightarrow x^2 \geq 2x$
 $x=1 \Rightarrow 1 \geq 2$ (false)
 $x \geq 2$
 $x^2 \geq 1$

$0 < x^2 + 2x^2 + x^2$
 $4 \cdot x^2$

$f(x) : O(x^2)$

$C=4$
 $k=1$

$f(1) = 1^2 + 2 \cdot 1 + 1 = 4$

$g(x) = x^2$
 $g(1) = 1$

$4 \cdot |g(x)|$

$x^2 \geq 2x$
 $1 \geq 2$ (false)
 $2 \geq 4$ (false)
 $3 \geq 6$ (false)
 $4 \geq 8$ (false)

$2 \cdot 4 \geq 4$
 $k=2$
 $x \geq k$

$x^2 \geq 1$
 $1 \geq 1$
 $1 \geq 1$
 $1 \geq 1$
 $k=1$

$$\frac{4}{3} \mid \frac{7}{9} \quad \uparrow$$

$$\left(\begin{array}{l} 4 \cdot 1 = 4 \\ f(x) \leq (g(x)) \\ O(x^2) \end{array} \right)$$

Def 40:

$$\text{Let } f(x) = a_n \cdot x^n + a_{n-1} \cdot x^{n-1} + a_{n-2} \cdot x^{n-2} + \dots + a_1 \cdot x + a_0$$

dominating element

Big-O-notation to estimate the number of operations to compute
 $\Rightarrow$ reflects "smartness" / efficiency of an algorithm.

$O(1)$: constant complexity: constant step

$\rightarrow O(\log n)$: logarithmic complexity

$\rightarrow O(n)$: linear complexity: take every element

$\rightarrow O(n \cdot \log n)$: linearithmic compl.: for w. elem.: do a "binary step"
 $O(n^2)$: polynomial complexity: for every element "visit" every element
 two nested loops

$$n=10 \quad \{1, 21, -5, 0, 4, 13, 17, 8, -9, 2\}$$

$\uparrow \rightarrow \uparrow \rightarrow \uparrow \rightarrow \uparrow \rightarrow \uparrow \rightarrow \uparrow \rightarrow \uparrow$

$O(n)$

(17)

$$\{-9, -5, 0, 12, 4, 8, 13, 17, 21\}$$

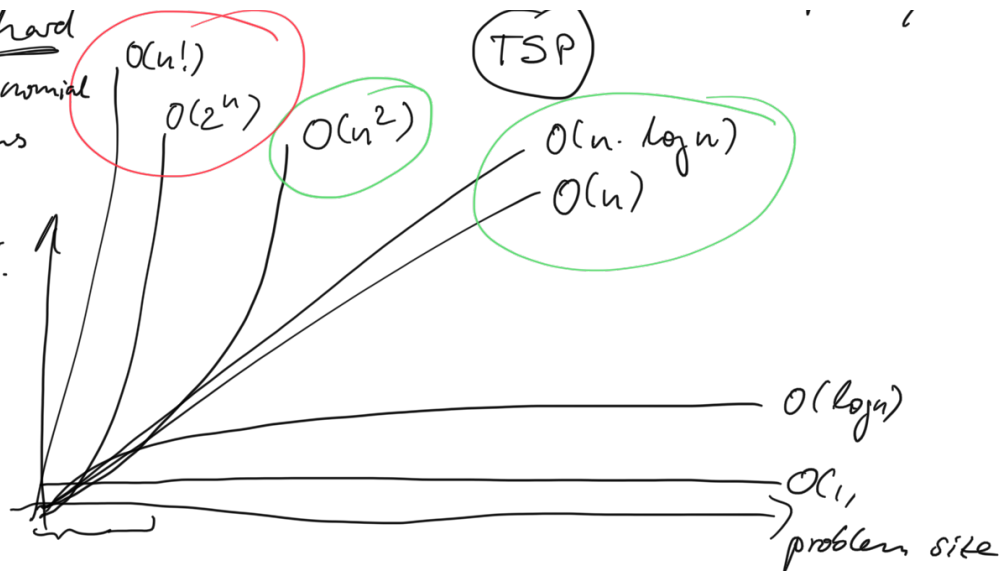
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 3

$O(2^n)$ = exponential compl.: very pricey;
 knapsack problem

$O(n!)$ = factorial compl.: very very pricey

NP-hard
non-polynomial
functions

comp. ↑



Def 41 Let $f_1(x)$ be $O(g_1(x))$
and $f_2(x)$ be $O(g_2(x))$.

$\rightarrow O(n)$
 $\rightarrow O(n^2)$

Then, $(f_1 + f_2)(x)$ is
 $O(\max(|g_1(x)|, |g_2(x)|))$

$O(n)$
 $O(n)$

$f_1(x)$ is $O(g(x))$, $f_2(x)$ is $O(g(x))$
 $(f_1 + f_2)(x)$ is $O(g(x))$

Def 42

Let $f_1(x)$ be $O(g_1(x))$
and $f_2(x)$ be $O(g_2(x))$
 $(f_1 \cdot f_2)(x)$ is $O(g_1(x) \cdot g_2(x))$

$O(n \cdot n) = O(n^2)$

```

for (int i = 0; i < n; i++) { f1
  for (int j = 0; j < n; j++) { f2
    }
  }
  
```

$O(n)$

$O(\log n)$

$\rightarrow O(n)$

Example Big-O:

$$f(x) = (x+1) \cdot \log(x^2+1) + 3x^2$$

$$= x \cdot \log(x^2+1) + 1 \cdot \log(x^2+1) + 3x^2$$

$x^2+1 \leq x^2+x^2 \leq 2x^2$
 $\downarrow$
 $x^2 \leq x^2$ $1 \leq x^2$

$$= x \cdot \log(x^2+x^2) + 1 \cdot \log(x^2+x^2) + 3x^2$$

$$= x \cdot \log(2x^2) + \log(2x^2) + 3x^2$$

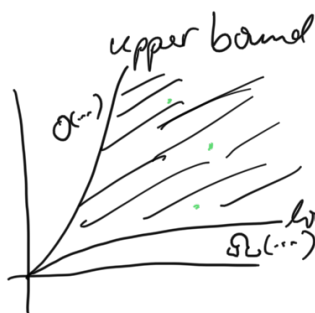
$$= \underbrace{x \cdot (2 \cdot \log(2x))}_{O(x \cdot \log(x))} + \underbrace{2 \cdot \log(2x)}_{O(\log(x))} + \underbrace{3x^2}_{O(x^2)}$$

$$O(x^2) > O(x \cdot \log(x)) > O(\log(x))$$

$$f(x) : O(x^2)$$

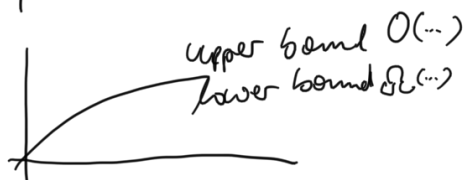
Big-O : upper bound
 lower bound : Ω (Big-omega)

Def 43: Let f, g be functions,



$f(x)$ is of $\Omega(g(x))$ if there are constants C, k , so that:

$$|f(x)| \geq C \cdot |g(x)| \quad x > k$$



Def 44: Def 39 + Def 43:

$f(x)$ is $O(g(x))$ and
 $f(x)$ is $\Omega(g(x))$

$f(x)$ is $\Theta(g(x))$.

$$1, \dots, x^3, x^2, x, 1, 0, 3$$

Example: $f(x) = \underbrace{0 \cdot x^4 + 0 \cdot x^3 + 0 \cdot x^2 + 0 \cdot x + 0}_{\Omega(\dots)?} \geq 0 \cdot x^4$
 $\Omega(x^3)$

time complexity: time analysis to solve a problem of particular size

space complexity: memory analysis to solve a problem of particular size

Worst case complexity: largest number of operations needed to solve the given problem using an algorithm on an input of a specified size.

Example: find_max(A)

```

max = 0
for (i = 0; i < length(A) - 1; i++)
    if (max < A[i]) then {
        max = A[i]
    }

```

$\Theta(n)$

$\text{max} = 0$

$A = \{ \overset{0}{1} \ \overset{1}{0} \ \overset{2}{3} \ \overset{3}{2} \ \overset{4}{7} \}$

↑ max = 1

→ max = 3

→ max = 7

return max (7).

Recursion

1 1 1 1 : called recursive

Def 45) An algorithm is recursive if it solves a problem by reducing it to an instance of the same problem with smaller input size.

Example: $n! = 1 \cdot 2 \cdot 3 \cdot \dots \cdot (n-1) \cdot n$

non-recursive

```

int factorial(int n) {
    int result = 1;
    for (int j = 1; j ≤ n; j++) {
        result = result · j;
    }
    return result;
}

```

(Handwritten annotations: 3 above n, 1.1.2.3 below result, 6 below return result)

recursive

```

int factorial(int n) {
    if ((n == 0) || (n == 1))
        return 1;
    else
        return n · factorial(n-1);
}

```

factorial(3) = 6
 ↳ return 3 · factorial(2)
 ↳ return 2 · factorial(1)
 ↳ return 1

Sorting Bubble sort

→

3	2	4	1	5
---	---	---	---	---

(Handwritten annotations: bubble sort above first three elements, bubble sort above last two elements)

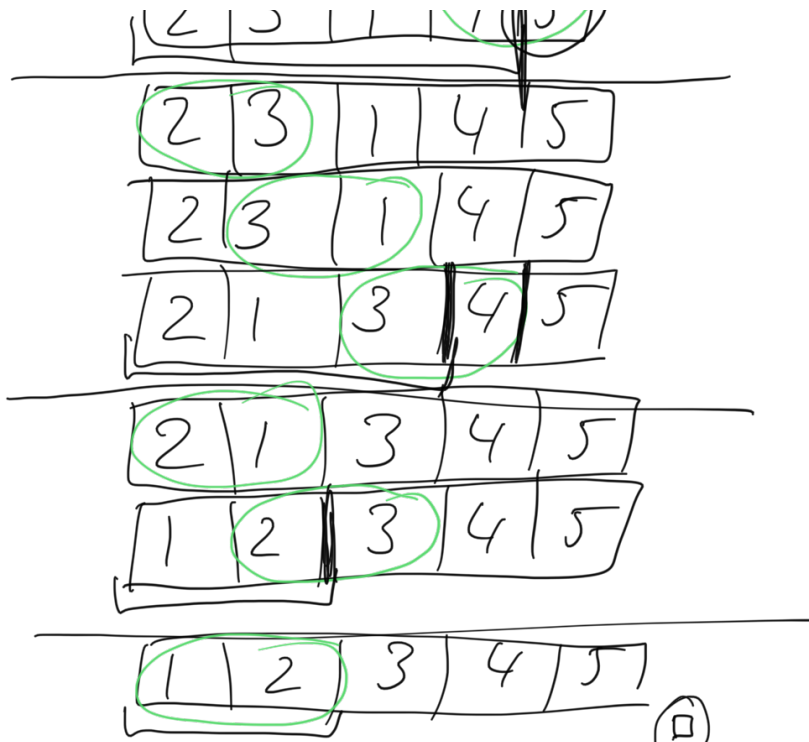
$n = 5$

3	2	4	1	5
---	---	---	---	---

2	3	4	1	5
---	---	---	---	---

2	3	4	1	5
---	---	---	---	---

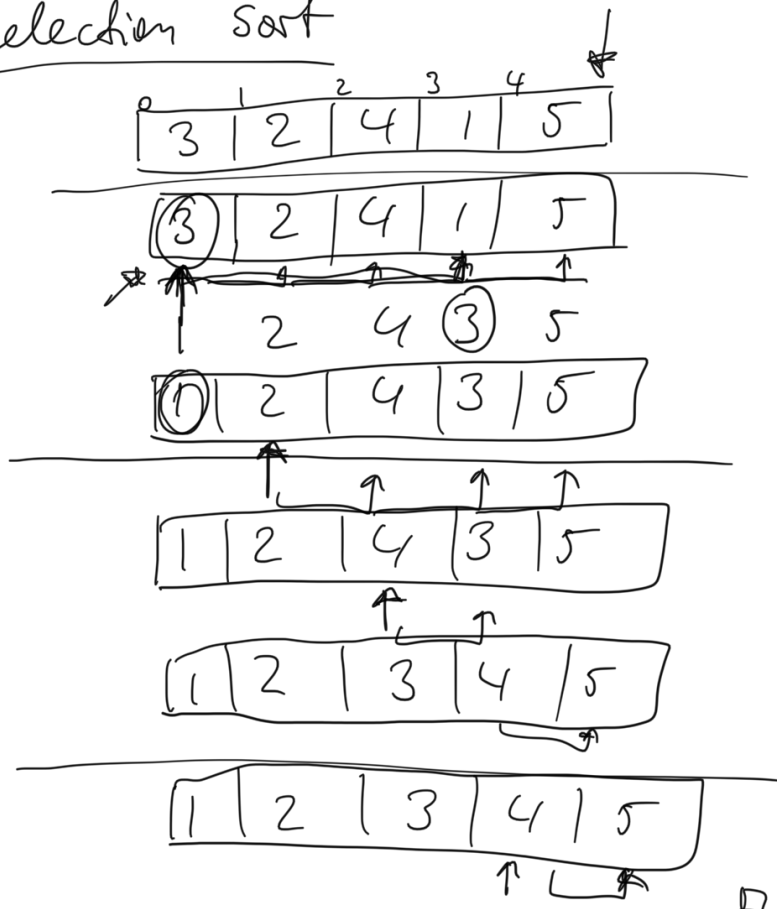
1	2	1	4	5
---	---	---	---	---



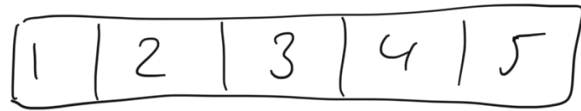
Worst case complexity? $O(n^2)$

Best case scenario? $O(n)$

Selection Sort

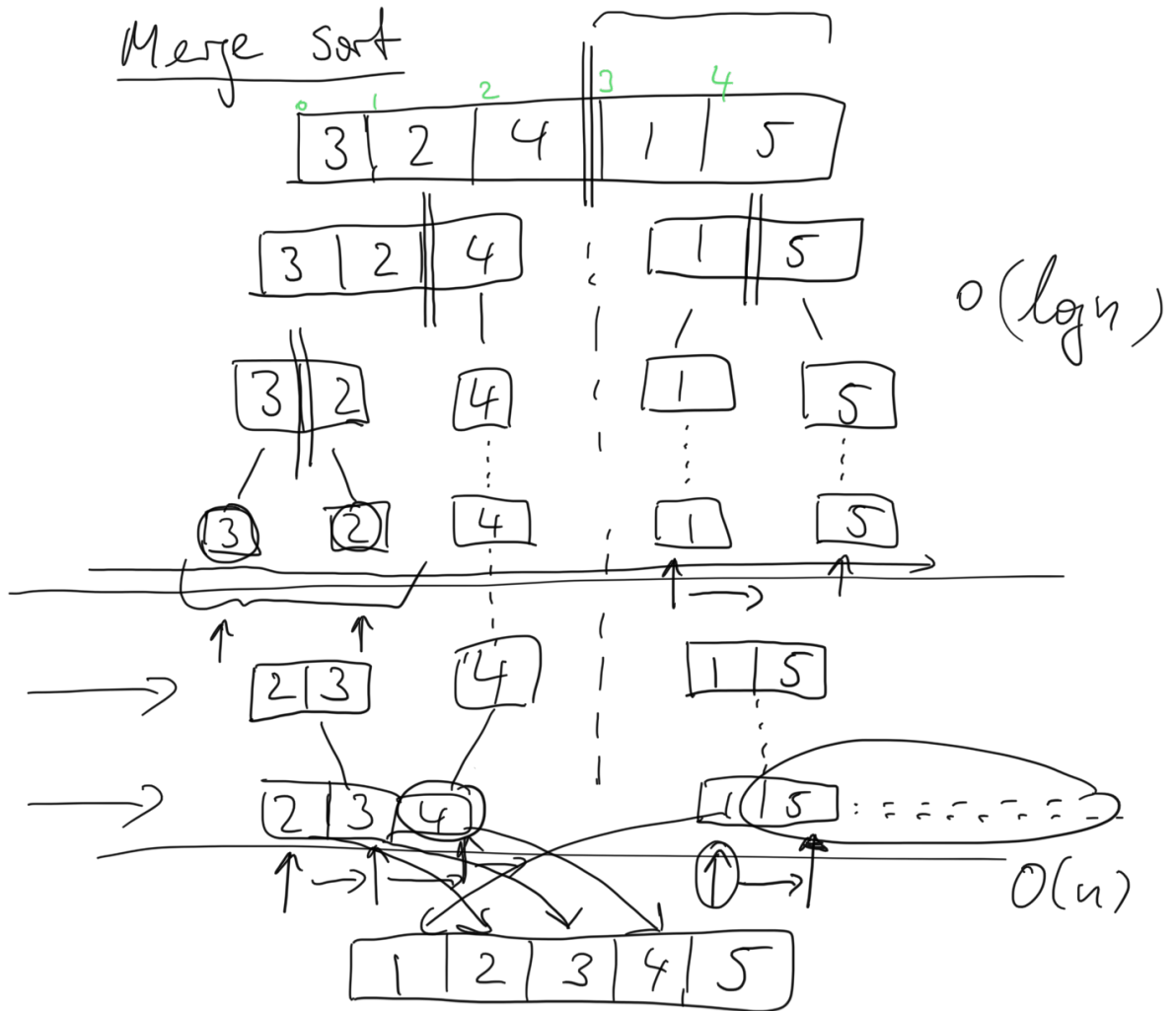


Best + worst case complexity $\Theta(n^2)$



$\theta(n^2)$

Merge sort



$\Theta(n \cdot \log n)$